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Arizona Corporation Commission

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AZ CORP COMMISSION
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BEFORE THE ARIZONA CORPORATION COMMISSION

In the matter of

Credit Suisse Securities (USA) LLC
1 Madison Avenue, 9th Floor
New York, New York 10010

Respondent.

Docket NO. S-20699A-09-0404

MOTION TO ASSOCIATE
COUNSEL PRO HAC VICE

Susan C. Salmon, pursuant to Rule 38(a), Ariz. R. Sup. Ct., moves the Commission to associate Andrew J. Geist as counsel pro hac vice in this action. In support of this motion and pursuant to Rule 38(a)(3)(C), the following original documents are attached:

1. Verified Application to Appear Pro Hac Vice;
2. Certificate of Good Standing; and
3. State Bar of Arizona Notice of Receipt of Complete Application.

Susan S. Salmon hereby agrees to serve as local counsel in this matter and accepts the responsibilities detailed in Rule 39(a)(2), Ariz. R. Sup. Ct.

DATED this 14th day of October, 2009.

Susan C. Salmon

Copies of the foregoing mailed/hand-delivered this 14th day of
October, 2009, to:

Andrew J. Geist, Esq.
O'Melveny & Myers LLP
7 Times Square
New York, NY 10036

Cheryl T. Farson, Esq.
General Counsel, Securities Division
Arizona Corporation Commission
1300 West Washington, 3rd Floor
Phoenix, AZ 85007

By: Sandra Ford

1



Attn: Pro Hac Vice Dept
PO Box 53099
Phoenix, AZ 85072-3099
Phone: 602-340-7239

For Official Use Only

App#

Bar Number#

1005014
P172827

Application for Appearance Pro Hac Vice

PART I: Applicant Information

Name of Applicant: ANDREW J. GEIST

Firm/Company Name: O'MELVENY & MYERS LLP

Office Address: SEVEN TIMES SQUARE, NEW YORK, NY 10036

Telephone: 212-326-2207 Fax: 212-326-2061 Email Address: AGEIST@OMM.COM

Residence Address: 44 JEFFREY LANE, CHAPPAQUA, NEW YORK 10514

Title of cause or case where applicant seeks to appear: In the Matter of Credit Suisse Securities (USA) LLC

Docket Number: §-20699A-09-0404

Court, Board, or Administrative Agency: ARIZONA CORPORATION COMMISSION

Party on whose behalf applicant seeks to appear: CREDIT SUISSE SECURITIES (USA) LLC

Pursuant to Arizona Supreme Court Rule 38(i)(3), the applicant shall complete the information below:

Courts to Which Applicant Has Been Admitted: (Attach additional pages if needed)	Date of Admission:	Bar Number:
<u>STATE OF NEW YORK</u>	<u>Jan. 12, 1988</u>	<u>2166056</u>
<u>USDC - SOUTHERN DISTRICT OF NEW YORK</u>	<u>Feb. 10, 1988</u>	<u></u>
<u>USDC - EASTERN DISTRICT OF NEW YORK</u>	<u>Feb. 10, 1988</u>	<u></u>
<u>USDC - DISTRICT OF CONNECTICUT</u>	<u>Dec. 5, 1988</u>	<u>CT 03188</u>

☒ Applicant is a member in good standing in such courts.

☒ Applicant is not currently disbarred or suspended in any court.

Applicant ☐ is / ☒ is not (select one) currently subject to any pending disciplinary proceeding or investigation by any court, agency or organization authorized to discipline attorneys at law.

In the preceding three (3) years, applicant has filed applications to appear as counsel under AZ ST S.Ct., Rule 38(a) in the following:

Title of Matter:	Docket #:	Court or Agency:	App Granted? (Y/N)
<u></u>	<u></u>	<u></u>	<u></u>
<u></u>	<u></u>	<u></u>	<u></u>
<u></u>	<u></u>	<u></u>	<u></u>

This case or cause ☐ is / ☒ is not (select one) a related or consolidated matter for which applicant has previously applied to appear pro hac vice in Arizona. If this matter is a related or consolidated with any previous application, Applicant certifies that he/she will review and comply with appropriate rules of procedure as required in the underlying cause.

If applicable, please provide related or consolidated matter application or docket#

PART III: Parties and Certification

Revised 01/15/09

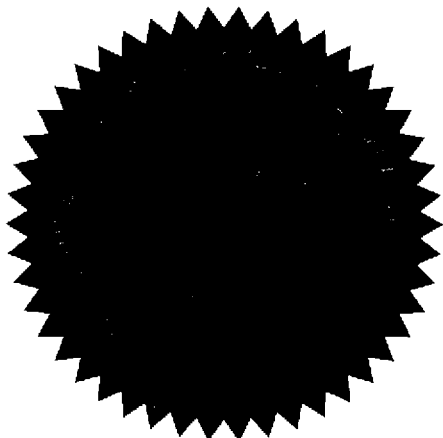
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**Appellate Division of the Supreme Court
of the State of New York
Second Judicial Department**

I, **James Edward Pelzer**, Clerk of the Appellate Division of the Supreme Court of the State of New York, Second Judicial Department, do hereby certify that **Andrew J. Geist** was duly licensed and admitted to practice as an Attorney and Counselor-at-Law in all the courts of the State, according to the laws of the State and the court rules and orders, on the **13th** day of **January 1988**, has duly taken and subscribed the oath of office prescribed by law, has been enrolled in the Roll of Attorneys and Counselors-at-Law on file in my office, has duly registered with the administrative office of the courts, and according to the records of this court is in good standing as an Attorney and Counselor-at-Law.

In Witness Whereof, I have hereunto set my hand and affixed the seal of said Appellate Division on September 25, 2009.



James Edward Pelzer

Clerk of the Court

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1 **Arizona Corporation Commission**

2
3 In the matter of :

4 Merrill Lynch Pierce et al

5) CASE # S20699A090404

6) SBA App # 1005014

7) **NOTICE OF RECEIPT OF**
8 **COMPLETE APPLICATION**

9 NOTICE IS HEREBY given by THE STATE BAR OF ARIZONA that it has
10 received the verified application and fee from Andrew Geist.

11 In addition to this application, applicant has made the following applications to appear
12 pro hac vice, pursuant to Rule38 (a), within the previous three (3) years:

12 Title of Matter	Court/Agency	Date	Granted?	
13			<input type="checkbox"/> Yes	<input type="checkbox"/> No
14			<input type="checkbox"/> Yes	<input type="checkbox"/> No

15 Exhibit A, the original verified application and Exhibit B, the original Certificate(s) of
16 Good Standing are attached hereto.

17 DATED this 9th day of October 2009

18 

19 Mirna A Lerma
20 Resource Center
21 State Bar of Arizona

22 Original Mailed on this 9th day of October 2009 to:

23 Ms Susan Salmon
24 Quarles & Brady LLP
25 One S Church Suite 1700
Tucson, AZ 85701-1621